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Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	09/688,983
				Filing Date	10/17/2000
				First Named Inventor	Jeff S. Eder
				Art Unit	3693
				Examiner Name	Harish T Dass
Sheet		of		Attorney Docket Number	AR - 12

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		TREYNOR, JACK and MAZUY, KAY, "Can Mutual Funds Outguess the Market?", Harvard Business Review, July - August 1996, pages 131 - 136, USA			
		IPPOLITO, RICHARD, On Studies of Mutual Fund Performance, 1962-1991, Financial Analysts Journal, Vol. 49, No. 1 (Jan. - Feb., 1993), pp. 42-50, USA			
		KON, STANLEY and JEN, FRANK, "The Investment Performance of Mutual Funds", The Journal of Business, Vol. 52, No. 2 (Apr., 1979), pp. 263-289, USA			

Examiner Signature	/Harish Dass/ (01/30/2012)	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.T.D